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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Haruo Oba et al.  
Appl. No.: 09/696,927  
Filed: October 26, 2000  
Title: AUTHENTICATION INFORMATION COMMUNICATION SYSTEM AND  
METHOD, PORTABLE INFORMATION PROCESSING DEVICE AND  
PROGRAM FURNISHING METHOD

COPY OF PAPERS  
ORIGINALLY FILED

Art Unit:  
Examiner:  
Docket No.: 112857-075

Assistant Commissioner for Patents  
Washington, DC 20231

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## INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,020,891	02/01/00	Junichi Rekimoto
6,055,536	04/25/00	Masato Shimakawa et al.
5,914,701	06/22/99	Neil Gersheneld et al.
5,796,827	08/18/98	Don Coppersmith et al.

**FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
JP 11161763	06/18/99	Japan
JP 7170215	07/04/95	Japan

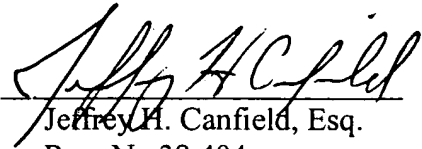
**OTHER DOCUMENTS**

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

A handwritten signature in black ink, appearing to read "Jeff H. Canfield", is written over a horizontal line.

Jeffrey H. Canfield, Esq.

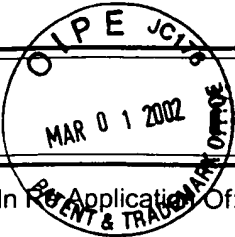
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**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
112857-075

In Re Application Of: Haruo Oba et al.

**COPY OF PAPERS  
ORIGINALLY FILED**

Serial No.  
09/696,927

Filing Date  
10/26/00

Examiner

Group Art Unit

Title: **AUTHENTICATION INFORMATION COMMUNICATION SYSTEM AND METHOD, PORTABLE  
INFORMATION PROCESSING DEVICE AND PROGRAM FURNISHING METHOD**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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Transmitted herewith is:

**Transmittal of Information Disclosure Statement (Duplicate); Information Disclosure Statement (2 Pages); PTO  
Form 1449; Cited References; and Return Receipt Postcard.**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **02-1818**  
as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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*Signature*

**Jeffrey H. Canfield, Esq. (38,404)  
Bell, Boyd & Lloyd LLC  
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Chicago, Illinois 60690-1135  
Telephone: (312) 807-4233**

Dated: **February 11, 2002**

I certify that this document and fee is being deposited  
on Feb. 11, 2002 with the U.S. Postal Service as  
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20231.

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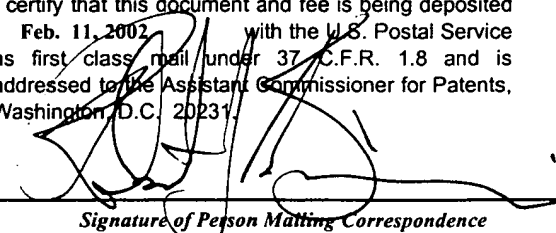
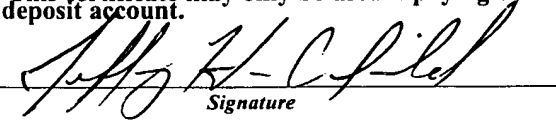
**Robert J. Buccieri**

*Typed or Printed Name of Person Mailing Correspondence*

CC:

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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 112857-075	
In Re Application Of: <b>Haruo Oba et al.</b>		<b>COPY OF PAPERS ORIGINALLY FILED</b>	
Patent No. <b>09/696,927</b>	Filing Date <b>10/26/00</b>	Examiner	Group Art Unit
Title: <b>AUTHENTICATION INFORMATION COMMUNICATION SYSTEM AND METHOD, PORTABLE INFORMATION PROCESSING DEVICE AND PROGRAM FURNISHING METHOD</b>			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231		<div style="border: 1px solid black; padding: 5px; display: inline-block;"><b>RECEIVED</b> <b>MAR 07 2002</b> <b>Technology Center 2100</b></div>	
<b>37 CFR 1.97(b)</b>			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
<b>37 CFR 1.97(c)</b>			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);			
<b>OR</b>			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).			

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 112857-075	
In Re Application: <b>Haruo Oba et al.</b>			COPY OF PAPERS ORIGINALLY FILED	
Serial No. 09/696,927	Filing Date 10/26/00	Examiner	Group Art Unit	
<b>AUTHENTICATION INFORMATION COMMUNICATION SYSTEM AND METHOD, PORTABLE INFORMATION PROCESSING DEVICE AND PROGRAM FURNISHING METHOD</b>				
<b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))				
<input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.				
<input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.				
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F  _____ (Date)  _____ Signature  _____ Typed or Printed Name of Person Signing Certificate			I certify that this document and fee is being deposited Feb. 11, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.   _____ Signature of Person Mailing Correspondence Robert J. Buccieri  _____ Typed or Printed Name of Person Mailing Certificate	
*This certificate may only be used if paying by deposit account.				
 _____ Signature			Dated: February 11, 2002	
Jeffrey H. Canfield, Esq. (38,404) Bell, Boyd & Lloyd LLC P.O. Box 1135 Chicago, Illinois 60690-1135 Telephone: (312) 807-4233			<b>RECEIVED</b> MAR 07 2002 Technology Center 2100	
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